

# FCA Standard form for the notification of Home Member State

### HOME MEMBER STATE DISCLOSURE FORM

- 1.\* Issuer Name: ETFS Commodity Securities Limited
- 2.\* Registered office: Ordnance House, 31 Pier Road, St Helier, Jersey JE4 8PW
- **3.** LEI<sup>ii</sup>: 21380068Q1JSIAN4FO63
  - **3.bis** National company register number<sup>iii</sup>:
- **4.**\* Home Member State<sup>iv</sup>: United Kingdom
- **5.**\* <u>Triggering event<sup>v</sup>:</u>

Issuer of other securities<sup>vi</sup>

article 2(1)(i)(ii)

6.\* Member State(s) where the issuer's securities are admitted to trading<sup>vii</sup>:

	Shares	Debt securities < 1000€	Other securities
Austria			
Belgium			
Bulgaria			
Croatia			
Cyprus			
Czech Republic			
Denmark			
Estonia			
Finland			
France			•
Germany			•

Greece	
Hungary	
Iceland	
Ireland	
Italy	•
Latvia	
Liechtenstein	
Lithuania	
Luxembourg	
Malta	
Netherlands	•
Norway	
Poland	
Portugal	
Romania	
Slovakia	
Slovenia	
Spain	
Sweden	
United Kingdom	•

- 6. bis. Former home Member State (if applicable)<sup>viii</sup>:
- 7. NCAs the form is required to be filed with<sup>ix</sup>:

United Kingdom, Austria, Belgium, Finland, France, Italy, Norway, Germany, Netherlands, Denmark, Spain, Portugal, Sweden, Ireland

- **8\*.** Date of notification: 26 February 2016
- **9.** Start date of 3 year period<sup>x</sup>:
- **10.** Additional information<sup>xi</sup> :

## 11.\* Contact details:

Issuer's address: Ordnance House, 31 Pier Road, St Helier, Jersey JE4 8PW Person responsible within the issuer for the present notification: Lucy Reynolds E-Mail address: lucy.reynolds@etfsecurities.com Telephone: +44 20 7448 8852

#### (\* Mandatory information)

### Information on filing procedures:

Denmark   website: <a href="http://oasm.dfsa.dk/">http://oasm.dfsa.dk/</a> Estonia   e-mail to: <a href="mailto:info@fi.ee">info@fi.ee</a> Finland   e-mail to: <a href="mailto:kirjaamo@finanssivalvonta.fi">kirjaamo@finanssivalvonta.fi</a>	Belgium	e-mail to: trp.fin@fsma.be
Cyprus     e-mail to: info@cysec.gov.cy (to the attention of Issuers Department)       Czech Republic     via national OAM: http://www.cnb.cz/en/supervision_financial_market/information_published_issuers/index.htm       Denmark     website: http://oasm.dfsa.dk/       Estonia     e-mail to: info@fi.ee       Finland     e-mail to: info@fi.ee       France     Via: https://onde.aml.france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.asp       Germany     e-mail to: info@fi.ee       Greece     e-mail to: info@fi.ee       Hungary     e-mail to: surveillance@mnb.hu       Iceland     via national OAM: www.oam.is       Ireland     e-mail to: fransparency@cmc.gov.gr       Latvia     e-mail to: fransparency@lb.lt       Latvia     e-mail to: fikk@ltki.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050       Liechtenstein	Bulgaria	
Ziech Republic     via national OAM: http://www.cnb.cz/en/supervision_financial_market/information_published_issuers/index.htm       Denmark     website: http://oasm.dfsa.dk/       Estonia     e-mail to: info@fi.ee       Finland     e-mail to: kirjaamo@finanssivalvonta.fi       France     via: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.asp       Germany     e-mail to: p26@bafin.de       Greece     e-mail to: surveillance@mnb.hu       Leeland     via national OAM: www.oam.is       Ireland     e-mail to: regulateddisclosure@centralbank.ie       Italy     e-mail to: fkik@fkik.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050       Liechtenstein     Luxembourg       Lithuania     e-mail to: transparency@cb.fl       Luxembourg     e-mail to: transparency@cb.fl       Norway     Poland       Poland     e-mail to: transparency@csf.lu       Norway     Poland       Portugal     e-mail to: transparency@csf.lu       Norwaj     E-mail to: transparency@csf.lu       Portugal     e-mail to: dno@knf.gov.pl or via ESPI system       Portugal     e-mail to: transparency@csf.lu       Romania     e-ma	Croatia	via national OAM: SRPI; or postal address: Miramarska 24b, 10000 Zagreb, Croatia
Czech Republic     http://www.cnb.cz/en/supervision financial market/information published issuers/index.htm       Denmark     website: http://oasm.dfsa.dk/       Estonia     e-mail to: info@fi.ee       Finland     e-mail to: kirjaamo@finanssivalvonta.fi       France     via: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.asp       Germany     e-mail to: p26@bafin.de       Greece     e-mail to: surveillance@mnb.hu       Iceland     via national OAM: www.oam.is       Ireland     e-mail to: regulateddisclosure@consob.it       Latvia     e-mail to: ftkt@ftkt.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050       Liechtenstein	Cyprus	e-mail to: info@cysec.gov.cy (to the attention of Issuers Department)
Estoniae-mail to: info@fi.eeFinlande-mail to: kirjaamo@finanssivalvonta.fiFrancevia: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspGermanye-mail to: p26@bafin.deGreecee-mail to: surveillance@rnnb.huIcelandvia national OAM: www.oam.isIrelande-mail to: regulateddisclosures@centralbank.ieItalye-mail to: fktk@fktk.ly or postal address: Kungu iela 1, Riga, Latvia, LV-1050LiechensteinLuxembourge-mail to: transparency@lb.ltLuxembourge-mail to: transparency@csf.luMaltaNorwayPolande-mail to: dno@knf.gov.pl or via ESPI systemPortugale-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cmvm.ptSlovakiavia national OAM: https://ceri.nbs.sk/Slovakiaonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Czech Republic	http://www.cnb.cz/en/supervision financial market/information published issuers/index.html
Finlande-mail to: kirjaamo@finanssivalvonta.fiFranceVia: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspGermanye-mail to: p26@bafin.deGreecee-mail to: surveillance@mnb.huIcelandvia national OAM: www.oam.isIrelande-mail to: regulateddisclosures@centralbank.ieItalye-mail to: https://conde.asf.kieItalye-mail to: https://softal.address: Kungu iela 1, Riga, Latvia, LV-1050LicehensteinLuxembourge-mail to: transparency@cssf.luMaltaNetherlandse-mail to: https://softal.adfm.nlNorwayPolande-mail to: dno@knf.gov.pl or via ESPI systemPortugale-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@csf.luNorwayPolande-mail to: transparency@csf.mania.roSlovakiavia national OAM: https://ceri.nbs.sk/Slovakiavia national OAM: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Denmark	
Francevia: https://onde.amf-france.org/RemiselnformationEmetteur/Client/PTRemiselnformationEmetteur.aspGermanye-mail to: p26@bafin.deGreecee-mail to: transparency@cmc.gov.grHungarye-mail to: surveillance@mnb.huIcelandvia national OAM: www.oam.isIrelande-mail to: regulateddisclosures@centralbank.ieItalye-mail to: https://science@centralbank.ieLatviae-mail to: transparency@cmsch.itLatviae-mail to: transparency@lb.itLithuaniae-mail to: transparency@cssf.luMaltaNetherlandse-mail to: transparency@cssf.luNorwayPolande-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cssf.vePortugale-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cssf.vePortugale-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@asfromania.roSlovakiavia national OAM: https://ceri.nbs.sk/Spainonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Estonia	e-mail to: info@fi.ee
Germanye-mail to: p26@bafin.deGreecee-mail to: transparency@cmc.gov.grHungarye-mail to: surveillance@mnb.huIcelandvia national OAM: www.oam.isIrelande-mail to: regulateddisclosures@centralbank.ieItalye-mail to: regulateddisclosures@centralbank.ieItalye-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050LiechtensteinLithuaniae-mail to: transparency@lb.ltLuxembourge-mail to: transparency@cssf.luMaltaNorwayPolande-mail to: dno@knf.gov.pl or via ESPI systemPortugale-mail to: transparency@asfromania.roSlovakiavia national OAM: https://ceri.nbs.sk/Slovakiavia national OAM: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Finland	e-mail to: kirjaamo@finanssivalvonta.fi
Greece   e-mail to: transparency@cmc.gov.gr     Hungary   e-mail to: surveillance@mnb.hu     Iceland   via national OAM: www.oam.is     Ireland   e-mail to: regulateddisclosures@centralbank.ie     Italy   e-mail to: HMSdisclosureform@consob.it     Latvia   e-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050     Liechtenstein	France	via: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx
Hungarye-mail to: surveillance@mnb.huIcelandvia national OAM: www.oam.isIrelande-mail to: regulateddisclosures@centralbank.ieItalye-mail to: HMSdisclosureform@consob.itLatviae-mail to: thtk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050LiechtensteinLithuaniae-mail to: transparency@lb.ltLuxembourge-mail to: transparency@cssf.luMaltaNetherlandse-mail to: HMS_Registration@afm.nlNorwayPolande-mail to: transparency@cssf.luRomaniae-mail to: transparency@cssf.luSlovakiavia national OAM: https://ceri.nbs.sk/Sloveniae-mail to: info@atvp.siSpainonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Germany	e-mail to: p26@bafin.de
Icelandvia national OAM: www.oam.isIrelande-mail to: regulateddisclosures@centralbank.ieItalye-mail to: HMSdisclosureform@consob.itLatviae-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050LiechtensteinLithuaniae-mail to: transparency@lb.ltLuxembourge-mail to: transparency@cssf.luMaltaNetherlandse-mail to: HMS_Registration@afm.nlNorwayPolande-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@asfromania.roSlovakiavia national OAM: https://ceri.nbs.sk/Sloveniae-mail to: info@atvp.siSpainonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Greece	e-mail to: transparency@cmc.gov.gr
Irelande-mail to: regulateddisclosures@centralbank.ieItalye-mail to: HMSdisclosureform@consob.itLatviae-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050LiechtensteinLithuaniae-mail to: transparency@lb.ltLuxembourge-mail to: transparency@cssf.luMaltaNetherlandse-mail to: HMS_Registration@afm.nlNorwayPolande-mail to: dno@knf.gov.pl or via ESPI systemPortugale-mail to: transparency@cssf.nuSlovakiavia national OAM: https://ceri.nbs.sk/Slovakiavia national OAM: https://ceri.nbs.sk/Spainonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Hungary	e-mail to: surveillance@mnb.hu
Italye-mail to: HMSdisclosureform@consob.itLatviae-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050LiechtensteinLithuaniae-mail to: transparency@lb.ltLuxembourge-mail to: transparency@cssf.luMaltaNetherlandse-mail to: HMS_Registration@afm.nlNorwayPolande-mail to: dno@knf.gov.pl or via ESPI systemPortugale-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cmvm.ptSlovakiavia national OAM: https://ceri.nbs.sk/Sloveniae-mail to: info@atvp.siSpainonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Iceland	via national OAM: <u>www.oam.is</u>
Latviae-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050LiechtensteinLithuaniae-mail to: transparency@lb.ltLuxembourge-mail to: transparency@cssf.luMaltaNetherlandse-mail to: HMS_Registration@afm.nlNorwayPolande-mail to: dno@knf.gov.pl or via ESPI systemPortugale-mail to: transparency@cssf.luRomaniae-mail to: transparency@cmvm.ptRomaniae-mail to: transparency@cmvm.ptSlovakiavia national OAM: https://ceri.nbs.sk/Sloveniae-mail to: info@atvp.siSpainonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Ireland	e-mail to: regulateddisclosures@centralbank.ie
LiechtensteinLithuaniae-mail to: transparency@lb.ltLuxembourge-mail to: transparency@cssf.luMaltaNetherlandse-mail to: HMS_Registration@afm.nlNorwayPolande-mail to: dno@knf.gov.pl or via ESPI systemPortugale-mail to: transparency@cssf.mum.ptRomaniae-mail to transparency@asfromania.roSlovakiavia national OAM: https://ceri.nbs.sk/Sloveniae-mail to: info@atvp.siSpainonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Italy	e-mail to: HMSdisclosureform@consob.it
Lithuaniae-mail to: transparency@lb.ltLuxembourge-mail to: transparency@cssf.luMaltaNetherlandse-mail to: HMS_Registration@afm.nlNorwayPolande-mail to: dno@knf.gov.pl or via ESPI systemPortugale-mail to: transparency@cmvm.ptRomaniae-mail to transparency@asfromania.roSlovakiavia national OAM: https://ceri.nbs.sk/Sloveniae-mail to: info@atvp.siSpainonline register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Latvia	e-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050
Luxembourg   e-mail to: transparency@cssf.lu     Malta      Netherlands   e-mail to: HMS_Registration@afm.nl     Norway      Poland   e-mail to: dno@knf.gov.pl or via ESPI system     Portugal   e-mail to: transparency@cmvm.pt     Romania   e-mail to transparency@asfromania.ro     Slovakia   via national OAM: https://ceri.nbs.sk/     Slovenia   e-mail to: info@atvp.si     Spain   online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Liechtenstein	
Malta   Malta     Netherlands   e-mail to: HMS_Registration@afm.nl     Norway   Poland     Poland   e-mail to: dno@knf.gov.pl or via ESPI system     Portugal   e-mail to: transparency@cmvm.pt     Romania   e-mail to transparency@asfromania.ro     Slovakia   via national OAM: https://ceri.nbs.sk/     Slovenia   e-mail to: info@atvp.si     Spain   online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Lithuania	e-mail to: transparency@lb.lt
Netherlands   e-mail to: HMS_Registration@afm.nl     Norway   Poland     Poland   e-mail to: dno@knf.gov.pl or via ESPI system     Portugal   e-mail to: transparency@cmvm.pt     Romania   e-mail to transparency@asfromania.ro     Slovakia   via national OAM: https://ceri.nbs.sk/     Slovenia   e-mail to: info@atvp.si     Spain   online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Luxembourg	e-mail to: transparency@cssf.lu
Norway     Poland   e-mail to: dno@knf.gov.pl or via ESPI system     Portugal   e-mail to: transparency@cmvm.pt     Romania   e-mail to transparency@asfromania.ro     Slovakia   via national OAM: https://ceri.nbs.sk/     Slovenia   e-mail to: info@atvp.si     Spain   online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Malta	
Poland   e-mail to: dno@knf.gov.pl   or via ESPI system     Portugal   e-mail to: transparency@cmvm.pt     Romania   e-mail to transparency@asfromania.ro     Slovakia   via national OAM: https://ceri.nbs.sk/     Slovenia   e-mail to: info@atvp.si     Spain   online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Netherlands	e-mail to: <u>HMS_Registration@afm.nl</u>
Portugal   e-mail to: transparency@cmvm.pt     Romania   e-mail to transparency@asfromania.ro     Slovakia   via national OAM: https://ceri.nbs.sk/     Slovenia   e-mail to: info@atvp.si     Spain   online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Norway	
Romania   e-mail to transparency@asfromania.ro     Slovakia   via national OAM: https://ceri.nbs.sk/     Slovenia   e-mail to: info@atvp.si     Spain   online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Poland	e-mail to: dno@knf.gov.pl or via ESPI system
Slovakia   via national OAM: <a href="https://ceri.nbs.sk/">https://ceri.nbs.sk/</a> Slovenia   e-mail to: <a href="mailto:info@atvp.si">info@atvp.si</a> Spain   online register: <a href="https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en">https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en</a>	Portugal	e-mail to: transparency@cmvm.pt
Slovenia   e-mail to: info@atvp.si     Spain   online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en	Romania	e-mail to transparency@asfromania.ro
Spain     online register: <u>https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en</u>	Slovakia	via national OAM: <u>https://ceri.nbs.sk/</u>
	Slovenia	e-mail to: info@atvp.si
Sweden e-mail to: borsbolag@fi.se	Spain	online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en
	Sweden	e-mail to: borsbolag@fi.se
United Kingdom e-mail to: tdhomestate@fca.org.uk	United Kingdom	e-mail to: tdhomestate@fca.org.uk

Notes:

<sup>&</sup>lt;sup>*i*</sup> In case of a change of the company name compared to the previous disclosure, please provide the issuer's former companyname. In case of a first time disclosure, no information on an earlier name change is required. <sup>*ii*</sup> Legal entity identifier.

<sup>&</sup>lt;sup>iii</sup> In case the LEI is unavailable, please provide for identification purposes the number under which the issuer is registered with the business register of its country of incorporation.

<sup>&</sup>lt;sup>iv</sup> The home Member State pursuant to article 2(1)(i) of Directive 2004/109/EC.

<sup>&</sup>lt;sup>v</sup> The criteria upon which the home Member State has been determined.

<sup>&</sup>lt;sup>vi</sup> For example debt securities denominated at least EUR 1,000, units of collective investment undertaking of the closed end- type.

<sup>&</sup>lt;sup>vii</sup> Only securities admitted to trading on regulated markets shall be taken into account.

<sup>viii</sup> Information required in case the issuer chooses a new home Member State pursuant to article 2(1)(i)(iii).
<sup>Ix</sup> According to article 2(1)(i), second subparagraph of Directive 2004/109/EC.
<sup>x</sup> In case of a choice of home Member State pursuant to article 2(1)(i)(ii) of Directive 2004/109/EC.

<sup>&</sup>lt;sup>xi</sup> Please provide any relevant additional information.