



**Item 2.02. Results of Operations and Financial Condition.**

On October 27, 2020, Caterpillar Inc. issued a press release reporting its financial results for the quarter ended September 30, 2020. A copy of the press release is attached hereto as Exhibit 99.1 and incorporated into this Item 2.02 by reference.

The information in this Current Report on Form 8-K, including Exhibit 99.1, is being furnished in accordance with the provisions of General Instruction B.2 of Form 8-K.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits:

The following is furnished as an exhibit to this report:

99.1 [Caterpillar Inc. press release dated October 27, 2020](#)

104 The cover page from this Current Report on Form 8-K, formatted in Inline XBRL.

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### CATERPILLAR INC.

October 27, 2020

By: /s/ Suzette M. Long

Suzette M. Long

Chief Legal Officer, General Counsel & Corporate  
Secretary